



GATEWAY DOCKET No.:P1734US00  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard F. Nelson  
Application No.: 09/989823  
Filed: 11/20/2001  
For: SPEECH TO TEXT CONVERSION FOR HANDHELD DEVICE  
Group Art Unit: NOT YET ASSIGNED  
Examiner: NOT YET ASSIGNED

RECEIVED

APR 11 2003

Assistant Commissioner for Patents  
Washington, DC 20231

Technology Center 2600

STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE UNDER  
37 C.F.R. § 1.97 (e)

CERTIFICATE OF MAILING / TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

Deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
envelope addressed to the Assistant Commissioner for  
Patents, Washington, DC 20231

Date: 4/3/03

FACSIMILE

Transmitted by facsimile to the Patent and  
Trademark Office. (703)

  
Signature  
\_\_\_\_\_  
Nan E. Moser

IDENTIFICATION OF SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT  
FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Supplemental Information Disclosure Statement

- Accompanying this statement  
 Filed \_\_\_\_\_  
Date \_\_\_\_\_

STATEMENT

2. I, the person(s) signing below state:

- That each item of information contained in the supplemental information disclosure  
statement was cited in a communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of the supplemental information disclosure statement. 37 C.F.R. § 1.97(e)(1).

**OR**

- That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquire, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

**IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT**

3. The person making this statement is

- a.)  The inventor(s) who signs below
- b.)  a person who is substantively involved in the preparation of prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. § 1.56(c)) and who signs below.

  
**SIGNATURE OF PERSON MAKING STATEMENT**

Mark S. Walker (Reg. No. 30,699)  
(type name of person who is signing and reg no.)

Gateway, Inc.  
14303 Gateway Place  
Poway, CA 92064  
Phone: (858) 848-3449  
Fax: (858) 848-2671

Address and telephone number of person who is signing

30408  
**CUSTOMER NUMBER**



Please type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/989,823
		Filing Date	11/20/2001
		First Named Inventor	Richard F. Nelson
		Group Art Unit	not yet assigned
		Examiner Name	not yet assigned
Total Number of Pages in This Submission		Attorney Docket Number	P1734US00

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>RECEIVED</b>
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	APR 11 2003
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Technology Center 2600
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	1. Self-addressed, stamped postcard	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mark S. Walker (registration no. 30,699)
Signature	
Date	4-2-03

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **4/3/03**

Typed or printed name	Nan E. Moser
Signature	
Date	4/3/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449A/PTO</p> <p><b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				<b>Application Number</b>	09/989823
				<b>Filing Date</b>	11-20-01
				<b>First Named Inventor</b>	Richard F. Nelson
				<b>Art Unit</b>	not yet assigned
				<b>Examiner Name</b>	not yet assigned
<b>Sheet</b>	1	of	1	<b>Attorney Docket Number</b>	2152 P1734US00

**RECEIVED**

APR 11 2003

Technology Center 260

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not Considered. Include of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional) 2. See [Kinds codes of USPTO patent Documents](#). [uspto.gov](#) MPEP 901.04. 3. Enter Office that issued the document, by the two-letter code WIPO Standard ST.3. 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under wipo Standard ST. 16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.  
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.